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<b>(21) International Application Number:</b> PCT/US95/02886 <b>(22) International Filing Date:</b> 7 March 1995 (07.03.95)  <b>(30) Priority Data:</b> 209,502      7 March 1994 (07.03.94)      US 243,545      11 May 1994 (11.05.94)      US Not furnished      6 March 1995 (06.03.95)      US  <b>(71) Applicant:</b> IMMUNEX CORPORATION [US/US]; 51 University Street, Seattle, WA 98101 (US). <b>(72) Inventor:</b> LYMAN, Stewart, D.; 312 North 45th Street, Seattle, WA 98103 (US). <b>(74) Agent:</b> MALASKA, Stephen, L.; Immunex Corporation, 51 University Street, Seattle, WA 98101 (US).		<b>(81) Designated States:</b> AU, CA, CN, FI, JP, KP, KR, MX, NO, NZ, RU, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> EXTRACORPOREAL CELL CULTURE AND TRANSPLANTATION KITS  <b>(57) Abstract</b>  An extracorporeal stem cell culture and transplantation kit comprising means for selecting cells having a desired phenotype in a cell mixture obtained from a human; means for isolating the selected cells from the mixture; means for incubating the isolated cells; a composition comprising an effective amount of a cellular expansion factor, wherein the expansion factor is selected from the group consisting of: GM-CSF, G-CSF, IL-1, IL-3, IL-6, TPO, EPO, flt3-ligand, SF and a GM-CSF/IL-3 fusion protein; and cellular growth medium.		

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## TITLE

5     **EXTRACORPOREAL CELL CULTURE AND TRANSPLANTATION KITS**

## FIELD OF THE INVENTION

The invention pertains to cell selection and expansion technology and, in particular, to an extracorporeal cell culture and transplantation kit.

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## BACKGROUND OF THE INVENTION

Cytoreductive therapies involve administration of ionizing radiation or chemical toxins that kill rapidly dividing cells. Side effects typically result from cytotoxic effects upon normal cells and can limit the use of cytoreductive therapies. A frequent side effect is myelosuppression, or damage to bone marrow cells that give rise to white and red blood cells and platelets. As a result of myelosuppression, patients develop cytopenia, or blood cell deficits, that increase risk of infection and bleeding disorders. Cytopenias increase morbidity, mortality, and lead to under-dosing in cancer treatment. On the other hand, high-dose chemotherapy is therapeutically beneficial because it can produce an increased frequency of objective response in patients with metastatic cancers, particularly breast cancer, when compared to standard dose therapy. This can result in extended disease-free remission for some even poor-prognosis patients. Nevertheless, high-dose chemotherapy is toxic and many resulting clinical complications are related to infections, bleeding disorders and other effects associated with prolonged periods of myelosuppression.

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Many clinical investigators have manipulated cytoreductive therapy dosing regimens and schedules to increase dosing for cancer therapy, while limiting damage to bone marrow. An alternative method takes advantage of the fact that blood cells originate from hematopoietic stem cells that become committed to differentiate along certain lineages, i.e., erythroid, megakaryocytic, granulocytic, monocytic, and lymphocytic. Targeting these stem cells for cell separation therefore is of great interest in the treatment of cancer patients undergoing cytoreductive therapies. One therapeutic approach involves bone marrow or peripheral blood cell transplants in which bone marrow or circulating hematopoietic progenitor or stem cells are collected and proliferated in cell culture before cytoreductive therapy. The expanded cell population then can be reinfused following therapy to restore complete hematopoietic function. Optionally, a selecting step can be used to increase the relative numbers of hematopoietic progenitors in the collected explant. By reinfusing isolated stem or progenitor cells, reinfusion of other types of cells, including malignant cells, can be greatly minimized. In addition, during allogeneic transplantation, the number

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of T-cells transplanted can be greatly reduced by selecting only for stem or progenitor cells in order to minimize the risk of inducing Graft-versus-Host Disease (GvHD) in the patient.

5 A variety of cell selection techniques are known for identifying and separating hematopoietic stem or progenitor cells from a population of cells. Methods and materials for identifying and selecting such cell types are known. For example, monoclonal antibodies can be used to bind to a marker protein or surface antigen protein found on stem or progenitor cells. Such markers or cell surface antigens for hematopoietic stem cells include CD34, My-10, and Thy-1. In one method, antibodies are fixed to a surface, for  
10 example, glass beads, and contacted with a mixture of cells suspected of containing stem cells. This permits the antibodies to bind and secure the stem cells to the glass beads. Alternatively, the antibodies can be incubated with the cell mixture and the resulting combination contacted with a surface having an affinity for the antibody-cell complex. Undesired cells and cell matter are removed providing a relatively pure population of stem  
15 cells. Stem cells having the CD34 marker constitute only about 1% to 3% of the mononuclear cells in the bone marrow. The amount of CD34<sup>+</sup> stem cells in the peripheral blood is approximately 10- to 100-fold less than in bone marrow. Thus, methods of increasing or expanding the numbers of isolated stem cells is desired to reduce the number of harvested stem cells needed from bone marrow or peripheral blood to provide rapid and  
20 full bone marrow recovery after ablative doses of radio- or chemotherapy.

Another method of cell selection involves the elimination of dividing cells with the use of certain antimetabolites. By combining cytokine stimulation with antimetabolite treatment, cell death can be induced in responding cells. Therefore, cells resistant to the  
25 proliferative effects of the cytokine(s) can be positively selected. See Berardi et al., *Science*, 267:104 (1995).

The use of expanded stem cells also allows for transplantation in situations in which an adequate number of stem cells cannot be harvested. A procedure termed extracorporeal stem cell culture and transplantation (ESCCAT), also known as ex vivo expansion, involves the removal of the autologous or allogeneic stem cells, typically from the peripheral blood, bone marrow or umbilical cord blood, isolating the stem cells followed by the in vitro expansion of those cells. After expansion, the cells are infused into the  
30 patient.

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### SUMMARY OF THE INVENTION

The invention is directed to cell selection and expansion technology and, in particular, to extracorporeal stem cell culture and transplantation (ESCCAT). More

specifically, the invention is directed to an extracorporeal cell culture and transplantation kit that includes, but is not limited to, means for selecting cells that have a desired phenotype in a cell mixture obtained from a human; means for isolating the selected cells from the mixture; means for incubating the isolated cells; a composition comprising an effective amount of a cellular expansion factor, wherein the expansion factor is selected from the group consisting of: GM-CSF, G-CSF, IL-1, IL-3, IL-6, TPO, EPO, flt3-ligand, SF and a GM-CSF/IL-3 fusion protein; and cellular growth medium. In the kits, the isolating means is adapted to receive a mixture of cells and the selecting means and is adapted to isolate the desired cells from the mixture. Additionally, the incubating means is adapted to receive the isolated cells from the isolating means, the cellular growth medium and the expansion factor composition, and is further adapted to permit contact of a cellular expansion factor with the isolated cells sufficient for cellular expansion to occur.

Optionally, the kits according to the invention can comprise a container for containing the mixture of cells collected from a human, wherein the container is adapted to receive the collected cells and optionally, the selecting means.

The kit according to the invention provides a number of cellular expansion factors that are useful in ESCCAT for stimulating the proliferation of stem cells capable of self-renewal, and the proliferation and differentiation of lineage-committed progenitor cells. Such cellular growth factors include interleukins -1 and -3 (IL-1 and IL-3, respectively), granulocyte-macrophage colony stimulating factor (GM-CSF), molecular fusions of GM-CSF and IL-3 (PIXY321), flt3-ligand and granulocyte-colony stimulating factor (G-CSF). Other hematopoietic growth factors include stem-cell factor (SF) (also known as *c-kit* ligand, mast cell growth factor and steel factor), thrombopoietin (TPO), erythropoietin (EPO) and IL-6. These factors are useful for promoting the in vitro expansion of the isolated stem and progenitor cells.

The kits according to the invention are useful for selecting and expanding any cell population having the desired phenotype. For example, the kits find use in hematopoietic stem or progenitor cell expansion and transplantation, T cell or B cell expansion and transplantation, and gene therapy.

#### **DETAILED DESCRIPTION OF THE INVENTION**

The invention is directed to cell selection and expansion technology and, in particular, to extracorporeal cell culture and transplantation. As described herein, the invention comprises an ESCCAT kit that comprises:

- 1) means for selecting cells in the cell mixture that have a desired phenotype;

- 2) means for isolating the selected cells from the mixture;
- 3) means for incubating the isolated cells;
- 4) a composition comprising an effective amount of a cellular expansion factor, wherein the expansion factor is selected from the group consisting of: GM-CSF, G-CSF,
- 5 IL-1, IL-3, IL-6, TPO, EPO, flt3-ligand, SF and a GM-CSF/IL-3 fusion protein; and
- 5) cellular growth medium.

The optional container is designed to initially receive the collected cells and optionally, the selecting means. The isolating means is adapted to receive the collected  
10 cells, either directly from the patient or from the optional container. The isolating means is further adapted to receive the selecting means and to isolate the selected cells from the mixture. The incubating means is adapted for receiving the isolated cells from the isolating means, the cellular growth medium and the expansion factor composition, and is further adapted to permit contact of the expansion factor with the isolated cells sufficient to induce  
15 cellular expansion of the isolated cells.

The cell mixture can be collected from a variety of sources. For selection of hematopoietic stem or progenitor cells, cells are typically collected from sources that include bone marrow, peripheral blood or umbilical cord blood.

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#### Definitions

The terms "stem cells" and "progenitor cells" refers to early-lineage cells that are pluripotent. The terms are herein used interchangeably, as is common in the art. The term "stem or progenitor cells" means either stem cells, progenitor cells, or a mixture of  
25 both stem and progenitor cells. As commonly used in the art, stem and progenitor cells typically are identifiable by the following cellular characteristics: CD34<sup>+</sup>, CD33<sup>-</sup>, CD38<sup>-</sup>, Thy-1<sup>+</sup> and My-10<sup>+</sup>.

~~The term "flt3-L" refers to a genus of polypeptides that bind and complex~~  
30 independently with flt3 receptor found on progenitor and stem cells. Further encompassed by the term "flt3-L" are the proteins those described in EP-A 627 487, which is incorporated herein by reference. The term "flt3-L" encompasses proteins having the amino acid sequence of 1 to 235 of SEQ ID NO:6 as shown in EP-A 627,487, as well as those proteins having a high degree of similarity or a high degree of identity therewith, and  
35 which proteins are biologically active and bind the flt3 receptor. In addition, the term refers to biologically active gene products of the DNA of SEQ ID NO:5 as shown in EP-A 627,487. Further encompassed by the term "flt3-L" are the membrane-bound proteins (which include an intracellular region, a membrane region, and an extracellular region), and

soluble or truncated proteins which comprise primarily the extracellular portion of the protein, retain biological activity and are capable of being secreted. Specific examples of such soluble proteins are those comprising the sequence of amino acids 28-160 of SEQ ID NO:6 as shown in EP-A 627,487.

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The term "IL-1" means either or both of the two forms, IL-1 $\alpha$  and IL-1 $\beta$  (March et al., *Nature*, 315:641, 1985). Both IL-1 $\alpha$  and IL-1 $\beta$  bind to IL-1 receptors (Type I and Type II). IL-1 $\alpha$  is active in both precursor and mature forms whereas, IL-1 $\beta$  is active only in its mature form (March, et al. Id.). The term "IL-1" also refers to active fragments and  
10 analogs with altered amino acid sequences and derivatives, such as fusion proteins having an IL-1 component and IL-1 biological activity, see Mosley et al., *Proc. Natl. Acad. Sci.*, 84:4572 (1987).

The term "IL-3" refers to a genus of interleukin-3 polypeptides as described in U.S.  
15 Patent No. 5,108,910, incorporated herein by reference. Such polypeptides include analogs that have amino acid sequences that are substantially similar to the native human interleukin-3 amino acid sequences disclosed, for example, in EP publ. Nos. 275,598 and 282,185, each incorporated herein by reference. The term "IL-3" also includes analogs and  
20 alleles of IL-3 molecules that exhibit at least some of the biological activity in common with native human IL-3. Exemplary analogs of IL-3 are disclosed in EP Publ. No. 282,185. Other forms of IL-3 include human IL-3[Pro<sup>8</sup>Asp<sup>15</sup>Asp<sup>70</sup>], human IL-3[Ser<sup>8</sup>Asp<sup>15</sup>Asp<sup>70</sup>] and human IL-3[Ser<sup>8</sup>]. A DNA sequence encoding human IL-3 protein suitable for use in the invention is publicly available from the American Type Culture Collection (ATCC) under accession number ATCC 67747. The nomenclature used herein with respect to  
25 amino acid sequences in brackets designates which amino acids differ from the native human form. For example, human IL-3[Ser<sup>8</sup>Asp<sup>15</sup>Asp<sup>70</sup>] refers to a human IL-3 protein in which amino acid 8 has been changed to a serine residue, amino acid 15 has been changed to an aspartic acid residue and the amino acid-70 has been changed to an aspartic acid residue.

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The term "IL-6" refers to a genus of proteins as described in PCT Publ. WO 88/00206, EP 257406 and EP-A 331,640, each of which is incorporated herein by reference. IL-6 is identical to proteins termed "interferon-beta-2" (Zilberstein et al., *EMBO J.*, 5:2529 (1986)) and the "26 kd protein inducible in human fibroblasts" (Haegeman et al., *Eur. J. Biochem.*, 159:625 (1986)). Such proteins include analogs that have an amino  
35 acid sequence that is substantially similar to the native human IL-6 amino acid sequences and which are biologically active in that they are capable of binding to a IL-6 receptor, transducing a biological signal initiated by binding IL-6 receptor, or cross-reacting with

anti-IL-6 antibodies. Nucleotide sequences and deduced amino acid sequences of IL-6 are disclosed, for example in WO 88/00206. The term "IL-6" also includes analogs of native human IL-6 molecules sufficient to retain biological activity of native human IL-6.

5 As used herein, "GM-CSF" refers to a genus of proteins as described in U.S. Patent Nos. 5,108,910, and 5,229,496 each of which is incorporated herein by reference. Such proteins include analogs that have an amino acid sequence that is substantially similar to native human GM-CSF amino acid sequences (e.g., as publicly available ATCC 53157 or ATCC 39900), and which are biologically active in that they are capable of binding to a  
10 GM-CSF receptor, transducing a biological signal initiated by binding GM-CSF receptor, or cross-reacting with anti-GM-CSF antibodies. Amino acid sequences are disclosed, for example in Anderson, et al., *Proc. Natl. Acad. Sci., USA* 82:6250 (1985). Commercially available GM-CSF (sargramostim) is obtainable from Immunex Corp., Seattle, WA). The term "GM-CSF" also includes analogs of native human GM-CSF molecules sufficient to  
15 retain biological activity of native human GM-CSF. Exemplary analogs of GM-CSF include, for example, those described in EP Publ. No. 212914 and WO 89/03881, each of which is incorporated herein by reference. Other analogs of GM-CSF also may be used to construct fusion proteins with IL-3. A DNA sequence encoding a particularly preferred GM-CSF protein having potential glycosylation sites removed is publicly available from the  
20 ATCC under accession numbers ATCC 67231.

The term "GM-CSF/IL-3 fusion protein" means a C-terminal to N-terminal fusion of GM-CSF and IL-3. The fusion proteins are known and are described in U.S. Patent Nos. 5,199,942, 5,108,910 and 5,073,627, each of which is incorporated herein by  
25 reference. A preferred fusion protein is PIXY321 as described in US Patent No. 5,199,942.

The term "substantially similar" means variant amino acid sequence preferably is at least 80% identical to a native amino acid sequence, most preferably at least 90% identical.

30 The percent identity may be determined, for example, by comparing sequence information using the GAP computer program, version 6.0 described by Devereux et al. (*Nucl. Acids Res.* 12:387, 1984) and available from the University of Wisconsin Genetics Computer Group (UWGCG). The GAP program utilizes the alignment method of Needleman and Wunsch (*J. Mol. Biol.* 48:443, 1970), as revised by Smith and Waterman (*Adv. Appl. Math* 2:482, 1981). The preferred default parameters for the GAP program include: (1) a  
35 unary comparison matrix (containing a value of 1 for identities and 0 for non-identities) for nucleotides, and the weighted comparison matrix of Gribskov and Burgess, *Nucl. Acids Res.* 14:6745, 1986, as described by Schwartz and Dayhoff, eds., *Atlas of Protein*



*Sequence and Structure*, National Biomedical Research Foundation, pp. 353-358, 1979; (2) a penalty of 3.0 for each gap and an additional 0.10 penalty for each symbol in each gap; and (3) no penalty for end gaps. Variants may comprise conservatively substituted sequences, meaning that a given amino acid residue is replaced by a residue having similar physiochemical characteristics. Examples of conservative substitutions include substitution of one aliphatic residue for another, such as Ile, Val, Leu, or Ala for one another, or substitutions of one polar residue for another, such as between Lys and Arg; Glu and Asp; or Gln and Asn. Other such conservative substitutions, for example, substitutions of entire regions having similar hydrophobicity characteristics, are well known. Naturally occurring variants of the cellular expansion factors are also encompassed by the invention. Examples of such variants are proteins that result from alternate mRNA splicing events or from proteolytic cleavage of the native protein, wherein the native biological property is retained.

The term "purified or isolated" means that the purified or isolated material is substantially free of association with other cells, proteins or polypeptides, for example, as a purification product of recombinant host cell culture or as a purified extract.

The term "autologous transplantation" means a method in which cells having a desired phenotype are removed from a patient and re-administered to the same patient.

The term "allogeneic transplantation" means a method in which cells having a desired phenotype are removed from a human and administered to a different human. The term "syngeneic transplantation" means the cellular transplantation occurs between genetically identical humans.

The term "expansion" and "expanding" as used herein means enrichment of, or enriching, increasing, or providing an increase in, the numbers of the cells having the desired phenotype.

The term "ESCCAT" means a method comprising (1) collecting cells having a desired phenotype from a human; (2) expanding the cells *ex vivo* with a composition containing an effective amount of a cellular expansion growth factor to provide a cellular preparation comprising increased numbers of the desired cells; and (3) administering the cellular preparation to the patient in conjunction with or following cytoreductive therapy.

The term "effective amount" as used in conjunction with the cellular expansion factors, means that amount of expansion factor necessary to achieve the desired level of cell expansion. It will be readily apparent to a person of ordinary skill in the art that an

effective amount of a particular cellular expansion factor depends on a variety of variables. Such variables include, the level of expansion desired, whether or not the factor is combined with another factor, and the types of cells to be expanded. Determinations of the effective amount are well within the skill in the art.

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In a preferred embodiment, the cellular expansion growth factor is selected from the group consisting of a GM-CSF/IL-3 fusion protein, IL-1 $\alpha$ , or flt3-ligand.

10 In an alternate embodiment, the extracorporeal cell culture and transplantation kit according to the invention comprises a composition comprising an effective amount of an expansion factor selected from the group consisting of: G-CSF, IL-3, IL-6, TPO, EPO and SF.

15 In addition, the kits of the invention are useful in gene therapy. Gene therapy involves administration of exogenous DNA-transfected cells to a host that are allowed to engraft. See e.g., Boggs, *International J. Cell Cloning*, 8:80-96, (1990); Kohn et. al., *Cancer Invest.*, 7(2):179-192 (1989); Lehn, *Bone Marrow Transpl.*, 5:287-293 (1990); and Verma, *Scientific American*, pp. 68-84 (1990). Since genetic transfer of the exogenous DNA to the cells occurs when the cells are dividing, the efficiency of such  
20 transfer can be greatly increased using the kits according to the invention. Using a composition comprising an effective amount of at least one cellular expansion factor selected from the group consisting of: GM-CSF, G-CSF, IL-1 $\alpha$ , IL-3, IL-6, TPO, EPO, flt3-ligand, SF and a GM-CSF/IL-3 fusion protein, will facilitate the selected cells to more rapid proliferation or differentiation. Therefore, genetic uptake in the collected cells can be  
25 greatly enhanced. Generally, gene therapy methods are known in the art and include the steps of (a) culturing isolated stem cells in growth media comprising at least one cellular expansion factor selected from the group listed above; (b) transfecting the cultured cells from step (a) with the exogenous gene; and (c) administering the transfected cells to the mammal.

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In cases where it is desirable to select, isolate and expand hematopoietic stem or progenitor cells, a preferred kit is one wherein the means for isolating the hematopoietic stem cells comprises at least one of a) flt3 receptor binding protein and b) a monoclonal antibody that binds to a cellular marker selected from the group consisting of: CD34, Thy-1  
35 or My-10.

With regard to the particular aspects of the kits of the invention, the kits comprise means for selecting the cells having the desired phenotype from the collected cell mixture.

Choosing suitable selection means will depend on the desired phenotype of the cell to be isolated. Hematopoietic stem cells are selectable by virtue of their physical characteristics, such as expressing the membrane-bound flt3 receptor, or having the following cellular markers: CD34, Thy-1 and My-10. Monoclonal antibodies that recognize any of these  
5 antigens have been described in U.S. Patent No. 4,714,680 (anti-My-10) incorporated herein by reference, anti-CD34 is commercially available from Becton Dickinson, Franklin Lakes, NJ), and anti-Thy-1 monoclonal antibodies can be readily generated using the methods described by Dalchau et al., *J. Exp. Med.* 149:576 (1979), incorporated herein by  
10 reference. A flt3 receptor binding protein also may be used, such as anti-flt3 monoclonal antibodies or the flt3-ligand and described in EP-A 627 487, which flt3-ligand is available from Immunex Corporation, Seattle, WA). The cell binding protein is brought into contact with the collected cell mixture and the combination is allowed to incubate for a period of time sufficient to permit the binding of the desired cell to the cell binding protein.

15 An alternative means of selecting the quiescent stem cells is to induce cell death in the dividing, more lineage-committed, cell types using an antimetabolite such as 5-fluorouracil (5-FU) or an alkylating agent such as 4-hydroxycyclophosphamide (4-HC). The non-quiescent cells are stimulated to proliferate and differentiate by the addition of growth factors that have little or no effect on the stem cells, causing the non-stem cells to  
20 proliferate and differentiate and making them more vulnerable to the cytotoxic effects of 5-FU or 4-HC. See Berardi et al., *Science*, 267:104 (1995), which is incorporated herein by reference.

Further included in the kits of the invention are means for isolating the selected cells  
25 that have the desired phenotype. Isolation of the cells can be performed by using, for example, affinity chromatography, antibody-coated magnetic beads, or antibodies fixed to a solid matrix, such as glass beads, flasks, etc. Antibodies that recognize a stem cell surface marker can be fused or conjugated to other chemical-moieties such as biotin - which can be removed with an avidin or a streptavidin moiety secured to a solid support; fluorochromes  
30 useful in fluorescence activated cell sorting (FACS), or the like. Preferably, isolation is accomplished by an immunoaffinity column. Immunoaffinity columns can take any form, but usually comprise a packed bed reactor. The packed bed in these bioreactors is preferably made of a porous material having a substantially uniform coating of a substrate. The porous material, which provides a high surface area-to-volume ratio, allows for the cell  
35 mixture to flow over a large contact area while not impeding the flow of cells out of the bed. Typical substrates include avidin and streptavidin, while other conventional substrates can be used. The substrate should, either by its own properties, or by the addition of a chemical moiety, display high-affinity for a moiety found on the cell-binding protein such

as a monoclonal antibody. The monoclonal antibodies recognize a cell surface antigen on the cells to be separated, and are typically further modified to present a biotin moiety. It is well-known that biotin has a high affinity for avidin, and the affinity of these substances thereby removably secures the monoclonal antibody to the surface of the packed bed. Such columns are well known in the art, see Berenson, et al., *J. Cell Biochem.*, 10D:239 (1986). The column is washed with a PBS solution to remove unbound material. Target cells can be released from the beads using conventional methods. Immunoaffinity columns of the type described above that utilize biotinylated anti-CD34 monoclonal antibodies secured to an avidin-coated packed bed are described for example, in PCT Publ. No. WO 93/08268. A variation of this method utilizes cell binding proteins, such as the monoclonal antibodies or flt3-ligand as described above, removably-secured to a fixed surface in the isolating means. The bound cell binding protein then is contacted with the collected cell mixture and allowed to incubate for a period of time sufficient to permit isolation of the desired cells.

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Alternatively, the monoclonal antibodies that recognize the cell surface antigens can be labeled with a fluorescent label, e.g., chromophore or fluorophore, and separated by cell sorting according to the presence of absence or the amount of labeled product.

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A further alternate embodiment of the isolating means is based on conventional magnetic separation methods and devices. An example of a method for coating a magnetic-intensifying gradient matrix for use in a separation apparatus is disclosed in U.S. Patent No. 5,385,707, incorporated herein by reference.

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Further included in the kits of the invention are incubation means. Such means are adapted to receive and contain the isolated stem cells, a composition comprising an effective amount of a cellular expansion growth factor, and a cellular growth medium. The incubation means can be any device or apparatus that contains the isolated stem cells in contact with the expansion factor and the growth medium during the cellular expansion process. Suitable incubation means include, for example, bags, hollow fibers, glass bottles, multiple-well plates, or petri dishes. Many such incubation means are readily obtainable from a variety of commercial sources. Particularly preferred incubation means are sterile bags and hollow fibers.

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Cellular expansion growth factors are also provided in the kits according to the invention. Such expansion factors include GM-CSF, G-CSF, IL-1, IL-3, IL-6, TPO, EPO, flt3-ligand, SF and a GM-CSF/IL-3 fusion protein. Preferred expansion factors are GM-CSF, flt3-ligand, IL-1 $\alpha$ , and GM-CSF/IL-3 fusion proteins. The expansion factors

are provided in the kits in the form of a composition that contains the factors. Examples of such compositions are those that comprise a recombinantly-produced, or otherwise purified, expansion factor in a conventional stabilizing formulation. Other compositions that can be included in the kit comprise conditioned media obtained from mammalian cells  
5 that contains an amount of expansion factor sufficient to expand the desired cells.

The kits according to the invention also comprise a cellular growth medium. A variety of growth media can be used, and the composition of such media can be readily determined by a person having ordinary skill in the art. Suitable growth media are  
10 solutions containing nutrients or metabolic additives, and include those that are serum-depleted or serum-based. Representative examples of growth media are RPMI, TC 199, Iscoves modified Dulbecco's medium (Iscove, et al., *F.J. Exp. Med.*, 147:923 (1978)), DMEM, Fischer's, alpha medium, NCTC, F-10, Leibovitz's L-15, MEM and McCoy's. Particular examples of nutrients that will be readily apparent to the skilled artisan include,  
15 serum albumin, transferrin, lipids, cholesterol, a reducing agent such as 2-mercaptoethanol or monothioglycerol, pyruvate, butyrate, and a glucocorticoid such as hydrocortisone 2-hemisuccinate. More particularly, the standard media includes an energy source, vitamins or other cell-supporting organic compounds, a buffer such as HEPES, Tris, that act to stabilize the pH of the media, various inorganic salts. Particular reference is made to PCT  
20 Publ. No. WO 95/00632, wherein a variety of serum-free cellular growth media is described, such disclosure is incorporated herein by reference.

As stated *supra.*, the optional container for containing the cells collected from a human can be any sterile apparatus or device suitable for initially holding or containing the  
25 cell mixture. Preferably, the containing means is a sterile bag, having an opening for receiving the mixture of collected cells.

The kits of the invention can be used, for example, as follows in peripheral stem cell (PSC) or peripheral blood progenitor cell (PBPC) transplantation. Typically, PBPC  
30 and PSC transplantation is performed on patients whose bone marrow is unsuitable for collection due to, for example, marrow abnormality or malignant involvement. PBPC and PSC are collected using apheresis procedures known in the art. See, for example, Bishop et al., *Blood*, vol. 83, No. 2, pp. 610-616 (1994). Briefly, PBPC and PSC are collected using conventional devices, for example, a Haemonetics Model V50 apheresis device  
35 (Haemonetics, Braintree, MA). Four-hour collections are performed typically no more than five times weekly until approximately  $6.5 \times 10^8$  mononuclear cells (MNC)/kg patient are collected. The cells are suspended in standard media and then centrifuged to remove red blood cells and neutrophils. Cells located at the interface between the two phases (also

known in the art as the buffy coat) are withdrawn and resuspended in HBSS. The suspended cells are predominantly mononuclear and a substantial portion of the cell mixture are early stem cells. The resulting stem cell suspension then is contacted with biotinylated anti-CD34 monoclonal antibodies. The contacting period is maintained for a sufficient time to allow substantial interaction between the anti-CD34 monoclonal antibodies and the CD34 antigens on the stem cell surface. Typically, times of at least one hour are sufficient. The cell suspension then is brought into contact with the isolating means provided in the kit. The isolating means can comprise a column packed with avidin-coated beads. Such columns are well known in the art, see Berenson, et al., *J. Cell Biochem.*, 10D:239 (1986). The column is washed with a PBS solution to remove unbound material. Target stem cells can be released from the beads and from anti-CD34 monoclonal antibody using conventional methods. The stem cells obtained in this manner can be frozen in a controlled rate freezer (e.g., Cryo-Med, Mt. Clemens, MI), then stored in the vapor phase of liquid nitrogen. Ten percent dimethylsulfoxide can be used as a cryoprotectant. After all collections from the donor have been made, the stem cells are thawed and pooled into the incubating means. Aliquots containing stem cells, growth medium provided in the kit, such as McCoy's 5A medium, 0.3% agar, and at least one of the expansion factors provided in the kit: recombinant human GM-CSF, recombinant human flt3-L, and recombinant human GM-CSF/IL-3 fusion molecules (PIXY321) at concentrations of approximately 200 U/mL, are cultured and expanded in the incubating means provided in the kit, at 37 °C in 5% CO<sub>2</sub> in fully humidified air for 14 days. Optionally, human IL-1 $\alpha$  may be added to the cultures. The most preferred combination of expansion factors comprises flt3-L plus either IL-3 or a GM-CSF/IL-3 fusion protein). The expanded stem cells then can be reinfused intravenously to the patient.

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What is claimed is:

1. An extracorporeal cell culture and transplantation kit comprising:
  - a) means for selecting cells having a desired phenotype in a cell mixture obtained from a human;
  - b) means for isolating the selected cells from the mixture;
  - c) means for incubating the isolated cells;
  - d) a composition comprising an effective amount of a cellular expansion factor, wherein the expansion factor is selected from the group consisting of: GM-CSF, G-CSF, IL-1, IL-3, IL-6, TPO, EPO, flt3-ligand, SF and a GM-CSF/IL-3 fusion protein; and
  - e) cellular growth medium;wherein the isolating means is adapted to receive the mixture of cells and the selecting means and is adapted to isolate the selected cells from the mixture; and the incubating means is adapted to receive the isolated cells from the isolating means, the cellular growth medium and the expansion factor composition, and is further adapted to permit contact of the expansion factor with the isolated cells sufficient to permit cellular expansion of the isolated cells.
2. A kit according to claim 1, wherein the isolated cells are human hematopoietic stem or progenitor cells.
3. A kit according to claim 1, further comprising a container for first containing a mixture of cells collected from a human;
4. A kit according to claim 2, wherein the means for selecting the hematopoietic stem or progenitor cells comprises at least one of a) flt3 receptor binding protein and b) a monoclonal antibody that binds to a cellular marker selected from the group consisting of: CD34, Thy-1 or My-10.
5. A kit according to claim 2, wherein the means for selecting the hematopoietic stem or progenitor cells comprises an antimetabolite and a growth factor selected from the group consisting of SF and flt3-ligand.
6. A kit according to claim 1, wherein the composition comprises a GM-CSF/IL-3 fusion protein.
7. A kit according to claim 1, wherein the composition comprises IL-1 $\alpha$ .

8. A kit according to claim 1, wherein the composition comprises flt3-ligand.
9. A kit according to claim 1, wherein the composition comprises GM-CSF.
10. A kit according to claim 1, wherein the composition comprises flt3-L plus either IL-3 or a GM-CSF/IL-3 fusion protein.
11. An extracorporeal cell culture and transplantation kit comprising:
  - a) means for selecting cells having a desired phenotype in the cell mixture;
  - c) means for isolating the selected cells from the mixture;
  - d) means for incubating the isolated cells;
  - d) a composition comprising an effective amount of a cellular expansion factor, wherein the expansion factor is selected from the group consisting of: G-CSF, IL-3, IL-6, TPO, EPO and SF; and
  - e) cellular growth medium;wherein the isolating means is adapted to receive mixture of cells and the selecting means and is adapted to isolate the selected cells from the mixture; and the incubating means is adapted to receive the isolated cells from the isolating means, the cellular growth medium and the expansion factor composition, and is further adapted to permit contact of the expansion factor with the isolated cells sufficient for cellular expansion.
12. A kit according to claim 11, wherein the isolated cells are human hematopoietic stem or progenitor cells.
13. A kit according to claim 11, wherein the means for isolating the hematopoietic stem or progenitor cells comprises a monoclonal antibody that binds to a cellular marker selected from the group consisting of: CD34, Thy-1 or My-10.
14. A kit according to claim 11, wherein the means for selecting the hematopoietic stem or progenitor cells comprises an antimetabolite and a growth factor selected from the group consisting of SF and flt3-ligand.
15. A kit according to claim 11, further comprising a container for containing a mixture of cells collected from a human.



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/02886

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :C12N 5/08

US CL :435/240.2

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/240.2; 935/70, 71; 424/529

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, DIALOG

search terms: stem cells, progenitor cells, expansion

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	BLOOD, VOLUME 81, NUMBER 10, ISSUED 15 MAY 1993, BRUGGER ET AL., "EX VIVO EXPANSION OF ENRICHED PERIPHERAL BLOOD CD34 + PROGENITOR CELLS BY STEM FACTOR, INTERLEUKIN-1 $\beta$ (IL-1 $\beta$ )-IL-6, IL-3, INTERFERON- $\gamma$ , AND ERYTHROPOITEN", PAGES 2579-2584, ESPECIALLY PAGES 2579-2580.	1-10 ----- 11-15
Y	BIO/TECHNOLOGY, VOLUME 11, ISSUED MARCH 1993, PALSSON ET AL., "EXPANSION OF HUMAN BONE MARROW PROGENITOR CELLS IN A HIGH CELL DENSITY CONTINUOUS PERFUSION SYSTEM", PAGRS 368-372, SEE ABSTRACT.	11-15
X --- Y	US, A, 5,199,942 (GILLIS) 06 APRIL 1993, SEE COLUMN 7, EXAMPLE 1.	1-10 ----- 11-15

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

*A*	document defining the general state of the art which is not considered to be of particular relevance	*T*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*E*	earlier document published on or after the international filing date	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*L*	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*O*	document referring to an oral disclosure, use, exhibition or other means	*Z*	document member of the same patent family
*P*	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

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## INTERNATIONAL SEARCH REPORT

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PCT/US95/02886

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, VOLUME 88, ISSUED APRIL 1991, CULVER ET AL., "LYMPHOCYTES AS CELLULAR VEHICLES FOR GENE THERAPY IN MOUSE AND MAN", PAGES 3155-3159, SEE ABSTRACT.	1-15
X	LEUKEMIA AND LYMPHOMA, VOLUME 11, ISSUED 1993, DURAND ET AL., "LONG-TERM GENERATION OF COLONY-FORMING CELLS (CFC) FROM CD34+ HUMAN UMBILICAL CORD BLOOD CELLS", PAGES 263-273, SEE PAGES 264-266.	1-10
Y		11-15
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